Rev. 7/1/2026

FACTS

WHAT DOES H.L. ORMOND & COMPANY, LLC. DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Drivers Licensed Number, Social Security number, date of birth, telephone numbers, emails and addresses
- Annual Income and Expenses, Tax Bracket, Account Balances and Transaction History
- Net Worth, Assets and Liabilities, and Employment History

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information, the reasons H.L. Ormond & Company, LLC. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does H.L. Ormond share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	N/A – We do not share
For our affiliates' everyday business purposes— information about your transactions and experiences	No	N/A – We do not have affiliates
For our affiliates' everyday business purposes— information about your creditworthiness	No	N/A – We do not have affiliates
For affiliates to market to you	No	N/A – We do not have affiliates
For nonaffiliates to market to you	No	N/A – We do not share

Other important information

No mobile data or opt-in data or consent will be shared with third parties or affiliates for marketing or promotional purposes.

For California Residents. In accordance with California law, we will not share information we collect about you with non-affiliates, except as allowed by law. For example, we may share information with your consent or to service your accounts.

Who we are	
Who is providing this notice?	H.L. Ormond & Company, LLC.

What we do	
How does H.L. Ormond & Company, LLC. protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. H.L. Ormond & Company, LLC. limits access to your information to those employees and service providers who are involved in offering or administering the products or services to you,
How does H.L. Ormond & Company, LLC. collect my personal information?	We collect your personal information, for example, when you ■ Provide your Personal Information ■ Seek Investment Advice ■ Complete an Application We also collect your personal information from others, such as credit bureaus, administrators, brokers, custodians, regulators, or other companies as necessary to provide agreed upon services to you.
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account, I hold jointly with someone else?	Your choices will apply to everyone on your accounts unless you tell us otherwise.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. ■ *H.L. Ormond & Company, LLC. does not have any affiliates.*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. ■ *H.L. Ormond & Company, LLC. does not share with non-affiliates so they can market to you.*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ *H.L. Ormond & Company, LLC. does not jointly market.*